



25268
PATENT TRADEMARK OFFICE

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2800 212 MP SEC-60K
BOX MISSING PARTS

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Applicants: Keith L. Frost et al. Attorney Docket No: BIOL0017
3 Serial No: 09/976,237 Group Art Unit: 2877
4 Filed: October 12, 2001 Examiner:
5 Title: METHOD AND APPARATUS FOR READING
REPORTER LABELED BEADS

6 SUPPLEMENTAL TRANSMITTAL
7 OF MISSING PARTS OF PATENT APPLICATION/
8 REQUEST FOR RECORDATION OF ASSIGNMENT

RECEIVED

Bellevue, Washington 98004 JUN 12 2002

January 17, 2002

Technology Center 2600

9 TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

10 On December 5, 2001, applicants submitted original, signed documents to complete the filing
11 of the above-identified patent application, in accordance with the Notice to File Missing Parts dated
12 November 27, 2001. The period for responding to the notice is set to expire on January 27, 2002.
13 Applicants submit that they timely filed the missing parts and paid all associated fees with the
14 submission of December 5, 2001.

15 A complete copy of all documents previously submitted on December 5, 2001 are enclosed
16 herewith, and include a copy of the original Transmittal of Missing Parts of Patent
17 Application/Request for Recordation of Assignment, Combined Declaration and Power of Attorney
18 executed by all inventors in this case, Assignment Cover Sheet, Assignment to Amnis Corporation,
19 Notice to File Missing Parts, a check for \$475 which included the \$370 filing, \$65 surcharge, and \$40
20 assignment recording fees, and a return receipt postcard detailing these items.

21 A new check No. 5668 in the amount of \$475 is enclosed herewith to cover the fees
22 which have apparently not yet been processed by the United States Patent and Trademark Office in
23 light of the anthrax problems faced by the U.S. Postal Service in the Washington, D.C. area and the
24 resultant delays in mail processing at the United States Patent and Trademark Office. Applicants
25 resubmit these documents to insure that the application filing will now be completed.

Additional Fee Charges or Credit for Overpayment

Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

A copy of this sheet is enclosed.

Respectfully submitted,

Ron Anderson

Ronald M. Anderson
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Director of the Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on January 17, 2002.

Date: January 17, 2002

Karen Paulino

COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/976,237 | 10/12/2001 | Keith L. Frost | BIO10017 |

Ronald M. Anderson
 Suite 507
 600 - 108th Avenue N.E.
 Bellevue, WA 98004



COPY OF PAPER
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CONFIRMATION NO. 9706
 FORMALITIES LETTER



OC000000007125836

Date Mailed: 11/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION **RECEIVED**

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

JUN 12 2002

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.**

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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LAW OFFICES OF
RONALD M. ANDERSON
600-108TH AVE. NE, STE. 507
BELLEVUE, WA 98004-5500
(425) 688-8816

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28-7174/3251

5555

12/5/2001

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Ron Anderson MP

memo Filing Fee USSN 09/976,237 BIOL0017

"005555" 1325171740103750000154"

BIOL0017 The following have been received in the U.S. Patent and Trademark Office on the date stamped hereon:

1. Transmittal of Missing Parts of Patent Application/Request for Recordation of Assignment (small entity) (2pp, in duplicate)
2. Executed Combined Declaration and Power of Attorney (3pp)
3. Assignment Cover Sheet (1pg), Assignment to Amnis Corporation (4pp)
4. Copy of Notice to File Missing Parts (1pg)
5. \$475 check for \$370 filing, \$65 surcharge, and \$40 assignment recording fees
6. Return receipt postcard

Re: U.S. Patent Application Serial No: 09/976,237
Filed: 10/12/2001

Applicant: Keith L. Frost et al.
Group Art Unit: 2877

Attorney Docket No.: BIOL0017
Title: METHOD AND APPARATUS FOR READING
REPORTER LABELED BEADS

MAILED ON DECEMBER 5, 2001
BY FIRST CLASS MAIL

COPY



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PATENT TRADEMARK OFFICE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Keith L. Frost et al.

Attorney Docket No: BIOL0017

Serial No: 09/976,237

Group Art Unit: 2877

Filed: October 12, 2001

Examiner:

Title: METHOD AND APPARATUS FOR READING
REPORTER LABELED BEADSTRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/
REQUEST FOR RECORDATION OF ASSIGNMENT

Bellevue, Washington 98004

December 5, 2001

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

A. Transmitted Herewith Are The Following:

1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.

2. An Assignment of the invention to Amnis Corporation is attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment. Please record this Assignment in accordance with 37 C.F.R. § 3.11.

3. A copy of the Notice to File Missing Parts.

4. Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

COMPUTATION OF FEE

| | <u>Number of Claims Filed</u> | <u>Number of Extra Claims</u> | <u>Rate</u> | <u>Basic Fee</u> |
|---------------------------|-----------------------------------|-----------------------------------|-------------|------------------|
| Total Claims | 1 - 20 = | -0- | \$9 | \$370 |
| Independent Claims | 1 - 3 = | -0- | \$42 | -0- |
| Multiple Dependent Claims | --- | --- | \$140 | -0- |
| Total Filing Fee | | | | \$370 |
| Surcharge Fee | | | | 65 |
| Assignment Recording Fee | | | | 40 |
| TOTAL FEE | | | | \$ 475 |

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5. Our check No. 5553 in the amount of \$475 to cover the total fee as computed above.

B. Additional Fee Charges or Credit for Overpayment

Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940

A copy of this sheet is enclosed.

Respectfully submitted,

Ron Anderson

Ronald M. Anderson
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on December 5, 2001.

Date: December 5, 2001

Kathy Parker